

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Tu, <i>et al.</i>	Attorney Docket:	TSM03-0731
Filed:	Herewith	Examiner:	TBD
Serial No.:	TBD	Art Unit:	TBD
For:	Metal-Insulator-Metal Capacitors		

Mail Stop: Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

The Applicant wishes to bring to the attention of the Patent and Trademark Office the information noted on the enclosed form PTO/SB/08A & 08B that may be considered material to the examination of the above-identified application.


No fee is due at this time, as this Information Disclosure Statement is being filed concurrently with the patent application.

Pursuant to 37 CFR 1.98(a)(2)(i), as amended, copies of U.S. Patents cited are not being submitted. However, Applicant has included copies of any non-patent literature.

Respectfully submitted,

3-26-04  
Date

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	TBD
				Filing Date	Herewith
				First Named Inventor	Tu, <i>et al.</i>
				Art Unit	TBD
				Examiner Name	TBD
				Attorney Docket Number	TSM03-0731
Sheet	1	of	2		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-5,598,367	01-28-1997	Noble	
		US-5,866,451	02-02-1999	Yoo et al.	
		US-6,141,242	10-31-2000	Hsu et al.	
		US-6,146,960	11-14-2000	Chang	
		US-6,228,703 B1	05-08-2001	Chang	
		US-6,232,197 B1	05-15-2001	Tsai	
		US-6,242,300 B1	06-05-2001	Wang	
		US-6,256,248 B1	07-03-2001	Leung	
		US-6,306,720 B1	10-23-2001	Ding	
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		US-6,380,581 B1	04-30-2002	Noble et al.	
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		US-6,468,855 B2	10-22-2002	Leung et al.	
		US-6,492,224 B1	12-10-2002	Jao	
		US-6,509,595 B1	01-21-2003	Leung et al.	
		US-6,556,477 B2	04-29-2003	Hsu et al.	
		US-6,559,493	05-06-2003	Lee et al.	
		US-			
		US-			
		US-			

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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				Application Number	TBD
				Filing Date	Herewith
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				Sheet	2

[illegible]

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